

ALTERNATIVE TO PTO/SB/08a/b (07-05)

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| Substitute for form 1449/PTO<br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use as many sheets as necessary) |   |    |   | <b>Complete if Known</b> |                       |
|   |   |    |   | Application Number       | Not Yet Assigned      |
|   |   |    |   | Filing Date              | Concurrently Herewith |
|   |   |    |   | First Named Inventor     | Eitora Yamamura       |
|   |   |    |   | Art Unit                 | N/A                   |
|   |   |    |   | Examiner Name            | Not Yet Assigned      |
|   |   |    |   | Attorney Docket Number   | 07200/076001          |
| Sheet   | 1 | of | 1 |                          |                       |

| U.S. PATENT DOCUMENTS |                       |   |                                |  |   |
|-----------------------|-----------------------|---|--------------------------------|--|---|
| Examiner Initials*    | Cite No. <sup>1</sup> | Document Number<br>Number-Kind Code <sup>2</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
| (C)                   | AA*                   | US-2004/0247583   | 12-09-2004                     | Sakamoto et al.                                    |   |
| (C)                   | AB                    | US-2004/0091981   | 05-13-2004                     | Sakamoto et al.                                    |   |

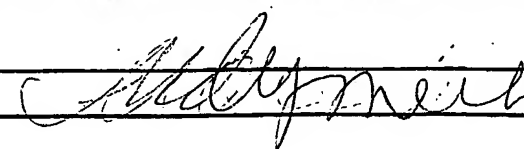
| FOREIGN PATENT DOCUMENTS |                       |  |                                |  |   |                |
|--------------------------|-----------------------|--|--------------------------------|--|---|----------------|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document<br>Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear | T <sup>6</sup> |
| (C)                      | BA                    | JP-4-148685  | 05-21-1992                     |  |   |                |
| (C)                      | BB                    | EP-0 482 426   | 04-29-1992                     | Nitto Chemical Industry Co., Ltd.                  |   | ✓              |
| (C)                      | BC                    | JP-4-330287  | 11-18-1992                     |  |   |                |
| (C)                      | BD                    | JP-9-28379   | 02-04-1997                     |  |   |                |
| (C)                      | BE                    | EP-0 757 101   | 02-05-1997                     | Nitto Chemical Industry Co., Ltd.                  |   | ✓              |
| (C)                      | BF                    | JP-WO 01/73100   | 10-04-2001                     | Fuji Chemical Industries, Ltd.                     |   |                |
| (C)                      | BG                    | JP-WO 02/070714  | 09-12-2002                     | Daiichi Fine Chemical Co., Ltd.                    |   |                |

\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

| NON PATENT LITERATURE DOCUMENTS |                       |   |                |
|---------------------------------|-----------------------|---|----------------|
| Examiner Initials*              | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
| (C)                             | CA                    | "Construction of an Escherichia coli-Rhodococcus Shuttle Vector and Plasmid Transformation in Rhodococcus spp."<br>As published February, 1988<br>Journal of Bacteriology, Vol 170, No. 2<br>Pages 638-645  |                |
| (C)                             | CB                    | International Search Report for PCT/JP2004/016104 dated 12/28/2004 (4 pages)  |                |
| (C)                             | CC                    | "Structural analysis of the 6 kb cryptic plasmid pFAJ2600 from Rhodococcus erythropolis N186/21 and construction of Escherichia coli-Rhodococcus shuttle vectors"<br>As published in Microbiology, , Vol. 143, 1997<br>pages 3137 to 3147                       |                |
| (C)                             | CD                    | "A small cryptic plasmid from Rhodococcus erythropolis: characterization and utility for gene expression"<br>As published in Appl. Microbiol. Biotechnol., Vol. 62, No. 1, July, 2003<br>pages 61 to 68   |                |

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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| Examiner Signature |  | Date Considered | 2/10/08 |
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|   |  |  | Application Number       | <b>10/577,296</b>                           |
|   |  |  | Filing Date              | <b>April 28, 2006</b>                       |
|   |  |  | First Named Inventor     | <b>Eitora Yamamura</b>                      |
|   |  |  | Art Unit                 | <b>Not Yet Assigned</b>                     |
|   |  |  | Examiner Name            | <b>Not Yet Assigned</b> <b>22511</b>        |
|   |  |  | Attorney Docket Number   | <b>07200/076001</b> PATENT TRADEMARK OFFICE |

| U.S. PATENT DOCUMENTS |                          |  |                                |  |   |
|-----------------------|--------------------------|--|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |                          | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
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| FOREIGN PATENT DOCUMENTS |                          |   |                                   |  |   |                |
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|                          |                          | Country Code <sup>4</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                                   |  |   |                |
|                          |                          |   |                                   |  |   |                |

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|                                 |                          |   |                |
| <b>CH</b>                       | <b>CA</b>                | English translation of PCT International Preliminary Report on Patentability, issued August 3, 2006, during the prosecution of International Application No. PCT/JP2004/016104 (7 pages)  |                |

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|                       |  |                    |                |
|-----------------------|--|--------------------|----------------|
| Examiner<br>Signature |  | Date<br>Considered | <b>2/10/08</b> |
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